

## Interleukin 6 Dependent Synaptic Plasticity in a Social Defeat-Susceptible Prefrontal Cortex Circuit

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**Abstract**—The role of the pro-inflammatory cytokine interleukin-6 (IL-6) in the etiology of stress-induced synaptic plasticity is yet unknown. We took advantage of a genetically modified mouse (TG) in which IL-6 trans-signaling via the soluble IL-6 receptor was blocked, to determine the role of IL-6 trans-signaling in the effects of a Social Defeat protocol (SD) on synaptic function of the medial prefrontal cortex (mPFC). Synaptic function in stress-sensitive (S) and stress-resilient (R) animals was studied in a mPFC slice preparation with whole-cell patch-clamp recording. SD altered numerous synaptic properties of the mPFC: R WT (but not TG) displayed a decreased ratio between N-methyl-D-aspartate receptor (NMDAR-) dependent and amino propionic acid receptor (AMPA-) dependent-current ( $I_{\text{NMDA}}/I_{\text{AMPA}}$ ), while S WT animals (but not TG) showed a reduced ratio between AMPA and  $\gamma$ -amino-butyric acid receptor type A (GABA<sub>A</sub>-) dependent currents ( $I_{\text{AMPA}}/I_{\text{GABA}}$ ). Also, SD induced an increase in the frequency but a decrease in the amplitude of excitatory action-potential dependent PSCs (sEPSCs), both in an IL-6 dependent manner, as well as a generalized (S/R-independent) decrease in the frequency of action potential independent (miniature) excitatory (IL-6 dependent) as well as inhibitory (IL-6 independent) postsynaptic current frequency. Interestingly, corner preference (measuring the intensity of social defeat) correlated positively with  $I_{\text{NMDA}}/I_{\text{AMPA}}$  and eEPSC frequency and negatively with  $I_{\text{AMPA}}/I_{\text{GABA}}$ . Our results suggest that SD induces behaviorally-relevant synaptic rearrangement in mPFC circuits, part of which is IL-6 dependent. In particular, IL-6 is necessary to produce synaptic plasticity leading to stress resilience in some individuals, but to stress sensitivity in others. © 2019 IBRO. Published by Elsevier Ltd. All rights reserved.

**Key words:** Social defeat, IL-6, stress, GABA, synaptic inhibition/excitation ratio, NMDA/AMPA ratio.

### INTRODUCTION

Genetic and environmental variables combine in unpredictable fashion, resulting in severe neuropsychiatric diseases carrying along an unbearable cost in human, social, psychological, and financial terms. Treatments for stress-related

mental disease are often ineffective, costly, and plagued by hard-to-get-rid-of withdrawal and side-effects. Such dim landscape is largely associated with our scant knowledge of the etiology and cellular and molecular mechanisms underlying this wide class of conditions, many of which were fully recognized by the medical community only in the last half of the past century.

Stress may be defined as the response of an organism to a discrepancy between an acceptable or desirable state and its actual condition (Hinkle, 1987). Organisms possess large inter-individual variability in the capability to bear similar stressors (stress sensitivity and resiliency) (Selye, 1976). The role of stress in the etiology of neuropsychiatric disease has been recognized early on, particularly in clinical studies (Arnsten, 2009; Agorastos et al., 2019). In the last few decades, a series of stress-related functional alterations of the so-called *cytokine-network* has been proposed to underlie a surprisingly large number of neuropsychiatric illnesses

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**Abbreviations:** AMPA, amino propionic acid; EPSC, excitatory postsynaptic current; eEPSC, (X = E or I) evoked EPSC; GABA,  $\gamma$  amino butyric acid; IL-6, interleukin 6; IPSC, inhibitory postsynaptic current; mPFC, medial prefrontal cortex; mEPSC, (X = E or I) miniature EPSC; NMDA, N-methyl D aspartate; sgp130-GFAP, soluble glycoprotein 130 Glial Fibrillary Acidic Protein; sEPSC, (X = E or I) spontaneous EPSC; TG, transgenic; WT, wild type.

(Dantzer et al., 2008; Slavich and Irwin, 2014; Milenkovic et al., 2019). Particularly, increased levels of the pro-inflammatory cytokine interleukin 6 (IL-6), have positively been correlated to such diverse conditions as schizophrenic psychoses (Potvin et al., 2008), major depression (Gimeno et al., 2009; Jansen et al., 2016), bipolar disease (Jacoby et al., 2016), anxiety syndromes (Tang et al., 2018), autism spectrum syndrome (Wei et al., 2016a), but also epilepsy (Cusick et al., 2017), through yet unknown mechanisms.

In particular, increases in the serum concentration of this pleiotropic interleukin have been proposed to underlie specific behavioral phenotypes associated with different types of stress (Yang et al., 2015a) by modulating several brain areas including the infralimbic medial prefrontal cortex (mPFC) (Lin et al., 2011; Luque-García et al., 2018). Clinical observations prompted a series of animal studies indicating that IL-6, besides its systemic – prevalently immune – roles, has also the capability to directly and indirectly affect brain function (Atzori et al., 2012), is selectively elevated -together with TNF- $\alpha$  (another pro-inflammatory cytokine)- in major depression (Dowlati et al., 2010), is reduced by antidepressant treatment (Ramirez and Sheridan, 2016), and its serum concentration is predictive of the antidepressant efficacy of ketamine in treatment-resistant patients (Yang et al., 2015b). Molecular, immuno-histochemical, and physiological data suggest that both glial and neuronal functions are affected by the activation of the IL-6 cascade with sometimes apparently contradictory or otherwise puzzling results (Scheller et al., 2011). Among the salient effects of IL-6 reported in the central nervous system (CNS) are: neuron degeneration and growth (Heese, 2017), synaptic plasticity (Hernandez et al., 2016), modulation of synaptic function (García-Oscos et al., 2012), modulation of transmitter release (Vezzani and Viviani, 2015), alteration of voltage-dependent membrane properties (Li et al., 2014; Xia et al., 2015), and activation and release of (other) immune factors.

In the CNS, IL-6 carries out its function mainly through the so-called trans-signaling mechanism (Rose-John, 2012; Campbell et al., 2014; Wolf et al., 2014), a mechanism through which IL-6 binds to a soluble version of the so-called IL-6 receptor (IL-6R) in the extracellular medium, binds the membrane-bound transducer glycoprotein 130 (gp130) present in all nucleated cells including neurons, and eventually activates a series of cross-phosphorylating membrane tyrosine kinases of the JAK/STAT family to produce multiple cellular and synaptic effect (Rothaug et al., 2016). In previous studies we and others have shown that IL-6 or acute stress, including administration of the bacterial toxin liposaccharide (LPS), as well as acute mild electric foot-shock, directly inhibits  $\gamma$  amino-butyric type A receptor (GABA<sub>A</sub>R)-mediated currents (Kawasaki et al., 2008; García-Oscos et al., 2012). Different from acute stress, the time scale of chronic stress has the potential to yield more profound and widespread CNS readjustments, including changes in synaptic function and plasticity and in voltage-dependent membrane mechanisms.

The purpose of this study was to determine the possible involvement of IL-6 trans-signaling in the behavioral effects of chronic stress as well as on stress-induced synaptic properties of the infralimbic prefrontal cortex, a brain area

that has been implicated in the etiology of stress-related disorders (Holmes and Wellman, 2009; Moghaddam, 2016; Macht and Reagan, 2017), and with social stress in particular (Qi et al., 2018; Wang et al., 2018). We took advantage of the availability of a genetically modified mouse strain (GFAP-sgp130F<sub>c</sub>, TG) in which IL-6 trans-signaling has been selectively inhibited in the CNS by expressing a saturating concentration of a soluble version of gp130 (sgp130F<sub>c</sub>) linked to the promoter of the astrocytic marker glial acidic fibrillary protein (GFAP) (Rothaug et al., 2016; Garbers et al., 2018). In these animals, trans-signaling was thereby blocked by a molar excess of sgp130F<sub>c</sub> in the extracellular brain parenchyma volume, sequestering IL-6/IL-6R complexes exclusively within the CNS (Campbell et al., 2014).

In this study we used Social Defeat (SD, a standard test of social interaction behavior) (Golden et al., 2011) as a model of chronic stress, in order to determine the possible involvement of IL-6 trans-signaling in the induction of synaptic changes induced by SD, as well as to detect possible differences in synaptic responses between susceptible (S) or resilient (R) animals (Golden et al., 2011). SD produces a psychogenic model that has been widely recognized in the rodent for the generation of a wide range of stress-induced symptoms, strongly related to the effects of chronic social diseases in humans, measuring synaptic currents in a mPFC slice preparation to determine and compare the synaptic function in WT and TG animals.

Synaptic currents in glutamatergic synapses are mediated by two main types of receptors: amino-propionic acid sensitive current ( $I_{AMPA}$ ) and N-methyl D-aspartate sensitive current ( $I_{NMDA}$ ). The proportion between these component is an important indicator of the maturation state of a glutamatergic synapse and of its plasticity potential (Liao et al., 1995; Wu et al., 1996; Hanse et al., 2013). On the other hand, the proportion between  $I_{AMPA}$ , and inhibitory synaptic currents mediated by  $\gamma$  amino butyric acid type A receptors ( $I_{GABA}$ ),  $I_{AMPA}/I_{GABA}$ , is a measure of neuronal excitability (Tatti et al., 2017). Its alteration has been associated with numerous models of neuropsychiatric disease and syndromes (Lee et al., 2017; Ferguson and Gao, 2018). For these reasons here, we also investigated the possible effects of social defeat on the two ratios ( $I_{NMDA}/I_{AMPA}$  and  $I_{AMPA}/I_{GABA}$ ) along with other indicators of synaptic function.

## EXPERIMENTAL PROCEDURES

### Experimental animals

We used 135 male mice, 67 wild type (C57BL/6J, Charles River, WT), and other 68 of the same strain, offspring from mice genetically modified in the laboratory of SRJ (GFAP-sgp130F<sub>c</sub>, TG). Animals were housed in a facility at room temperature (23 °C), with an inverted light cycle (light between 7 PM and 7 AM, dark from 7 AM to 7 PM) and fed with standard rodent diet (chow 5001, Nutrimix, Mexico City). All animals used, in the age range between 10 and 22 weeks old, were housed individually for at least the last 10 days before starting the protocol, and tested and/or sacrificed for electrophysiological experiments between 10 AM and 1 PM.

### GFAP-sgp130Fc animals

A transgenic mouse expressing sgp130Fc in the central nervous system by astrocytes (GFAP-sgp130Fc mice) was previously described (Campbell et al., 2014). A vector containing the human glial fibrillary acidic protein GFAP promoter cloned upstream of the optimized soluble glycoprotein 130Fc (sgp130Fc) (Campbell et al., 2014) was used for the construction of the transgenic mice expressing sgp130Fc in the central nervous system by astrocytes (GFAP-sgp130Fc mice, TG); a Bcl II/Not I fragment of 5854 bp was isolated from the plasmid and injected into oocytes, which were implanted into foster mothers. The following primers were used for genotyping sgp130Fc mice:

sgp130-Fc-screen forward: 5'-GAG TTC AGA TCC TGC GAC-3'.

sgp130-Fc-screen reverse: 5'-TCA CTT GCC AGG AGA CAG-3'.

### Social Defeat protocol

In brief, the SD protocol consists in a 10-min per day interaction of a novel (never presented before) experimental animal (WT or TG) with a different CD1 albino mouse every day during a 10-day period (Golden et al., 2011). CD1 mice were pre-selected by age (between 6 and 8 weeks old) and by aggressiveness (only those who seize an intruder at least twice for longer than 30 s in a 3-min time). Aggressive physical contact was stopped by the experimenter before physical injury or wounding to either animal could actually occur, typically after 5–10 min of interaction. The C57BL/6 and CD1 mice were subsequently separated by a transparent Plexiglas wall set across the long half of a rectangular cage of size 20 × 50 × 15 (width × depth × height) cm with a dozen holes (about 1 cm in diameter) for the remainder of the 24-h period as described in previous studies (Golden et al., 2011).

### Determination of stress sensitivity

The sensitivity to stress was determined using the same experimental protocol used in Golden et al. (2011). In brief, we measured the time spent in the interaction zone with a CD1 (TIZ), in the presence or in the absence of a CD1 mouse enclosed in an 8 × 6 × 30-cm (width × depth × height) cage in the center of the edge of a set up sized 42 × 42 × 40 cm (width × depth × height) during 150 s, as well as the Time spent by the experimental animal in the Corner Areas (TCA) in the presence of the CD1 in the enclosure:

$$SIR = \frac{\text{Time in Interaction Zone in presence of a CD1}}{\text{Time in Interaction Zone in absence of a CD1}}$$

or

$$SIR = \frac{TIZ(w/CD1)}{TIZ(w/o CD1)}$$

In agreement to a previous studies (Golden et al., 2011), we defined as sensitive (S) animals those whose Social

Interaction Ratio (SIR) was <1, and as resilient (R) those animals whose SIR was ≥1. For each experimental animal we also measured the Corner Preference Index (CPI) defined as:

$$CPI = \frac{\text{Time in Corner Area}}{\text{Time in Corner Area} + \text{Time in Interaction Zone}}$$

or

$$CPI = \frac{TCA}{TCA + TIZ}$$

All behavioral experiments were video recorded for post-hoc visual analysis by a previously trained observer for extraction of behavioral parameters.

### Other behavioral tests

#### Sucrose preference index

Animals were housed individually with the availability of two bottles, one with standard, purified water, and a second one with the same liquid but with 10% sucrose. Sucrose preference was determined as the ratio between the volume of sweet water drunk divided by total water ( $V_{\text{tot}} = V_{\text{sweetened}} + V_{\text{normal}}$ ) ingested:

$$SPI = V_{\text{sweetened}} / (V_{\text{sweetened}} + V_{\text{normal}})$$

#### Porsolt forced swimming

Animals were gently put in a 2-L beaker containing 1.5 L water at 23 °C. We measured the latency to immobility starting at the moment of immersion, and total immobility time during the last 4 of the 6-min immersion. All behavioral experiments were video recorded for post-hoc analysis.

### Electrophysiological experiments

Experimental animals were either subject to a protocol of Social Defeat (SD), as described in previous work (Golden et al., 2011), or not subject to such protocol (control, CT). After the behavioral response of experimental animals was evaluated (within the 3 days following the end of the SD protocol), animals were used the next day for electrophysiological recording.

### Brain slices

Similar to previous work (Roychowdhury et al., 2014), mice were anesthetized with isoflurane (Baxter, Round Lake IL), and sacrificed according to the NIH and Norma Nacional Mexicana rules (UASLP protocol no. 2240) and their brains sliced with a vibrotome (VT1000, Leica) in a cold solution (0–4 °C) containing (mM) 126 NaCl, 3.5 KCl, 10 glucose, 25 NaHCO<sub>3</sub>, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 1.5 CaCl<sub>2</sub>, 1.5 MgCl<sub>2</sub>, at pH 7.4 and saturated with a mixture of 95% O<sub>2</sub> and 5% CO<sub>2</sub> (ACSF). Coronal slices 270 μm thick were taken from the infralimbic medial prefrontal cortex and incubated in ACSF at 32 °C before being placed in the recording chamber. Once in the recording chamber, neurons were selected by their pyramidal shape and by their pronounced apical



dendrite, suggestive of pyramidal cell morphology, using an upright microscope (BX51, Olympus, Japan) with a 60× objective and an infrared camera system (DAGE-MTI, Michigan City, IN). Whole-cell voltage-clamp recordings from layer V pyramidal neurons of the medial prefrontal cortex (mPFC) were performed under visual guidance.

### Drugs, solutions, and electrophysiological recordings

Electrically evoked excitatory or inhibitory postsynaptic potentials (eEPSCs or eIPSCs) were elicited by delivering two electric stimuli (100–200  $\mu$ s, 10–50  $\mu$ A) 100 ms apart, every 15 s, through a glass stimulation monopolar electrode filled with ACSF at about 100–200  $\mu$ m from the recorded neuron located in layer II/III, dorsal to recording cell somata. The holding voltage was corrected for the junction potential ( $V_{\text{offset}} < 9$  mV). All intracellular recording solutions were titrated around pH 7.3, and had an osmolality of approximately 270 mOsm.

eEPSCs and eIPSCs were monitored at different stimulation intensities prior to baseline recording. Detection for both electrically-evoked and spontaneous synaptic currents threshold was set at  $\approx 150\%$  of one standard deviation of the noise (typical noise  $\approx 4$ –5 pA, threshold  $\approx 7$ –8 pA). A 2-mV 100-ms-long voltage pulse was applied at the beginning of every episode and access resistance (10–20 M $\Omega$ ) was monitored throughout the experiment in order to evaluate the quality of the recordings. Recordings displaying  $>20\%$  change in input or access resistance were discarded from the analysis. All signals were filtered with a low-pass Butterworth filter at 2 kHz and sampled at 10 kHz. Experiments were performed at room temperature (22–23 °C).

6,7-Dinitroquinoxaline-2,3-dione (DNQX, 10  $\mu$ M), and kynurenate (2 mM), or bicuculline methiodide (10  $\mu$ M) were used for blocking  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazole-propionic acid receptor (AMPA)-, N-methyl-D-aspartate receptor (NMDAR)-mediated currents, or  $\gamma$ -amino-butyric acid type A receptor- (GABA<sub>A</sub>R) mediated currents, respectively. More details are available in previous work (Garcia-Oscos et al., 2012).

### Input–output curves

Input/output (I/O) curves were recorded with 3–5-M $\Omega$  electrodes filled with a solution containing (mM): 100 CsCl, 5 1,2-bis(2-aminophenoxy)ethane-N,N,N',N'-tetraacetic acid K (BAPTA-K), 1 lidocaine N-ethyl bromide (QX314), 1 MgCl<sub>2</sub>, 10 N-(2-hydroxyethyl) piperazine-N'-(2-ethanesulfonic acid) (HEPES), 4 glutathione, 1.5 ATPMg, 0.3 GTPNa<sub>2</sub>, 20 phosphocreatine at a holding membrane potential  $V_h = -60$  mV. The amplitude of electrically evoked (e)IPSCs was quantified as mean peak amplitude of 4–10 pulses at increasing stimulation intensities until the mean response reached a plateau or, sometimes, showed a decreased response for stronger stimulation intensities, as previously reported (Garcia-Oscos et al., 2014).

### Measurement of $I_{\text{AMPA}}/I_{\text{GABA}}$

We measured inhibitory and excitatory currents within the same neuron, using a low-Cl<sup>−</sup> intracellular solution

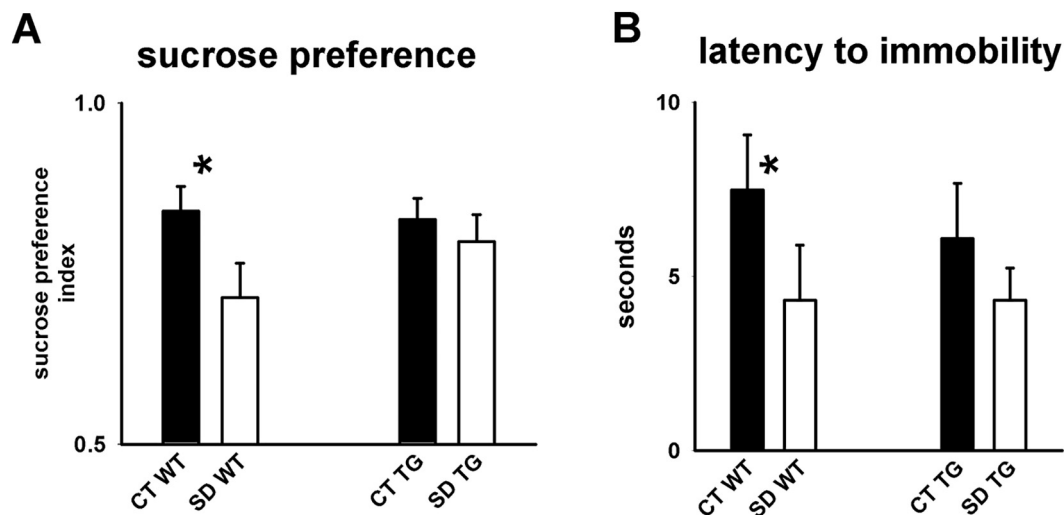
containing where CsCl was lowered from 100 to 10 mM, and the remainder 90 mM was substituted with K-gluconate, eliciting a theoretical reversal potential for Cl<sup>−</sup> of approximately −63 mV. Similar to previous work (Garcia-Oscos et al., 2012), reversal potential for both glutamatergic and GABAergic postsynaptic currents was evaluated determining current–voltage (I–V) relationships, for the evoked post synaptic current (peak amplitude of 10 events at each holding potential  $V_h$  in the range from  $V_h = -90$  mV up to  $V_h = +60$  mV). Evoked IPSCs reversed polarity close to the theoretical reversal potential of −63 mV ( $-64 \pm 2$  mV,  $n = 3$ ), which was used as a holding potential for recording glutamatergic currents ( $I_{\text{AMPA}}$ ), while evoked EPSCs reversed at  $V_{\text{exc}} = 30.5 \pm 3$  mV ( $n = 3$ ), whereby  $V_h = +30$  mV was used as a holding potential for recording GABAergic currents ( $I_{\text{GABA}}$ , data not shown). The nature of  $I_{\text{AMPA}}$  and  $I_{\text{GABA}}$  was confirmed by blocking them as specified above, the former with a mixture of kynurenic acid (2 mM) and DNQX (10  $\mu$ M), and the latter with 10  $\mu$ M bicuculline methiodide (data not shown).

### Measurement of $I_{\text{NMDA}}/I_{\text{AMPA}}$

We also used patch-clamp recording for measuring the ratio between N-methyl-D-aspartate-receptor-mediated currents ( $I_{\text{NMDA}}$ ) and  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazole-propionic-acid-receptor-mediated currents ( $I_{\text{AMPA}}$ ) from pyramidal neurons like in previous work (Dufour et al., 2006). In this case, the control solution contained bicuculline methiodide (10  $\mu$ M) for blocking,  $\gamma$ -aminobutyric-acid A-receptor (GABA<sub>A</sub>R)-mediated currents. Postsynaptic currents were recorded with 3–5-M $\Omega$  electrodes using a solution containing the following (in mM): 100 CsOH, 100 gluconic acid, 5 1,2-bis(2-aminophenoxy) ethane-N, N,N',N'-tetraacetic acid K (BAPTA-K), 1 lidocaine N-ethyl bromide (QX314), 1 MgCl<sub>2</sub>, 10 N-(2-hydroxyethyl)piperazineN'-(2-ethanesulfonic acid) (HEPES), 4 glutathione, 1.5 ATPMg, 0.3 GTPNa<sub>2</sub>, 8 biocytin. As shown in Fig. 2,  $I_{\text{AMPA}}$  were recorded at a holding potential  $V_r = -60$  mV and measured at their peak.  $I_{\text{NMDA}}$  were recorded in the same cell at  $V_r = +60$  mV in order to fully remove the Mg<sup>2+</sup> block at NMDA receptors.  $I_{\text{NMDA}}$  amplitude was calculated as the mean between 95 and 105 ms after the electric stimulation, for minimizing the possible contamination by  $I_{\text{AMPA}}$ .

### Spontaneous and miniature synaptic currents

Glutamatergic and GABAergic spontaneous postsynaptic currents (sEPSCs and sIPSCs, respectively) were recorded in the same conditions described for their electrically evoked counterparts (eEPSCs and eIPSCs). Miniature EPSCs and IPSCs (mEPSCs and mIPSCs) were recorded in the same conditions but in the presence of the Na<sup>+</sup>-current blocker tetrodotoxin (TTX, 1  $\mu$ M, Alomone Labs., Israel). Synaptic event amplitude and frequency were measured by using the MiniAnalysis program (Synaptosoft, Fort Lee, NJ, USA) with samples of 200–1000 events each. 20–80% rise-time (rt) and half-width time (hw, time width of the event at 50% amplitude) were calculated as the averages from 10 or more synaptic events selected in a similar



**Fig. 1. Behavioral response to SD. (A) Sucrose preference** is significantly decreased by SD in WT animals (bars on the left) but not in TG animals (bars on the right). **(B) Latency to immobility** in the Porsolt forced swim test is significantly decreased in WT animals but not in TG ones. This results indicate that SD was overall effective in the induction of stress, and that IL-6 trans-signaling is involved in the effect.

amplitude range, in order to avoid bias due to amplitude dependence.

### Statistical analysis

$\chi^2$  tests were used to assess differences between behavioral parameters. Synaptic parameters between experimental groups were compared using  $2 \times 2$  ANOVA for WT vs. TG and unstressed vs. stressed (pooling together S and R animals), whereas a  $2 \times 3$  ANOVA was used to compare WT vs. TG and control (unstressed) and, separately, the two stressed (S, and R) groups. Sample size was the number of recording from each group ( $9 \leq n \leq 28$  recordings for each statistic, except one (1/28) statistic with seven recordings; at least three animals per group for each set of recordings, as indicated in the corresponding figure). Sample size is indicated in the respective figure legend, for all data shown in the figures, or in the text, otherwise. Results of ANOVA F test are reported only for statistically significant differences. Those groups whose statistical significance was assessed by the ANOVA F value were further analyzed using as Tukey HSD. Calculation of synaptic parameters was based on measurement using statistically stable means, defined as means averaged on statistically stable periods. A statistically stable period is defined as a time interval (5–8 min) along which postsynaptic current mean amplitude measured during any 2-min assessment did not vary according to Mann–Whitney U test. All data are expressed as mean  $\pm$  S.E.M. Pair pulse ratios (PPR) were calculated as means of the second response divided by the mean of the first response, according to Kim and Alger (2001). Data were reported as different only if  $p < 0.05$ . Single, double, or triple asterisks (\*, \*\*, \*\*\*) indicate  $p < 0.05$ , 0.02, or 0.01, respectively. Pound sign (#) indicates statistical tendency ( $p < 0.10$ ). Whenever sample size is not reported in the text it is shown in the corresponding figure.

## RESULTS

### Behavior

SD increased the overall percentage of susceptible animals (SIR < 1) from 11.9% (8/67) to 26.5% (18/68) ( $\chi^2 = 6.95$ ,  $df = 1$ ,  $p < 0.01$ ). Interestingly, while 18.2% (6/33) control (not previously subject to SD, CT) WT animals were classified as S, only 5.9% (2/34) of the TG CT animals turned out to be sensitive ( $\chi^2 = 7.14$ ,  $df = 1$ ,  $p < 0.01$ ). On the other hand, WT and TG displayed similar proportions of S mice (29.4%, 10/34), and (23.5%, 8/34), respectively, suggesting that inhibition of IL-6 trans-signaling does *not* prevent SD-induced behavior ( $\chi^2 = 0.89$ ,  $df = 1$ ,  $p = 0.34$ ). Direct two-way ANOVA of the SIR parameter showed that the SD was effective in producing stress ( $F_{1,134} = 31.7$ ,  $p < 0.01$ ) regardless of animal group (WT vs. TG,  $F_{1,134} = 0.29$ , n.s.).

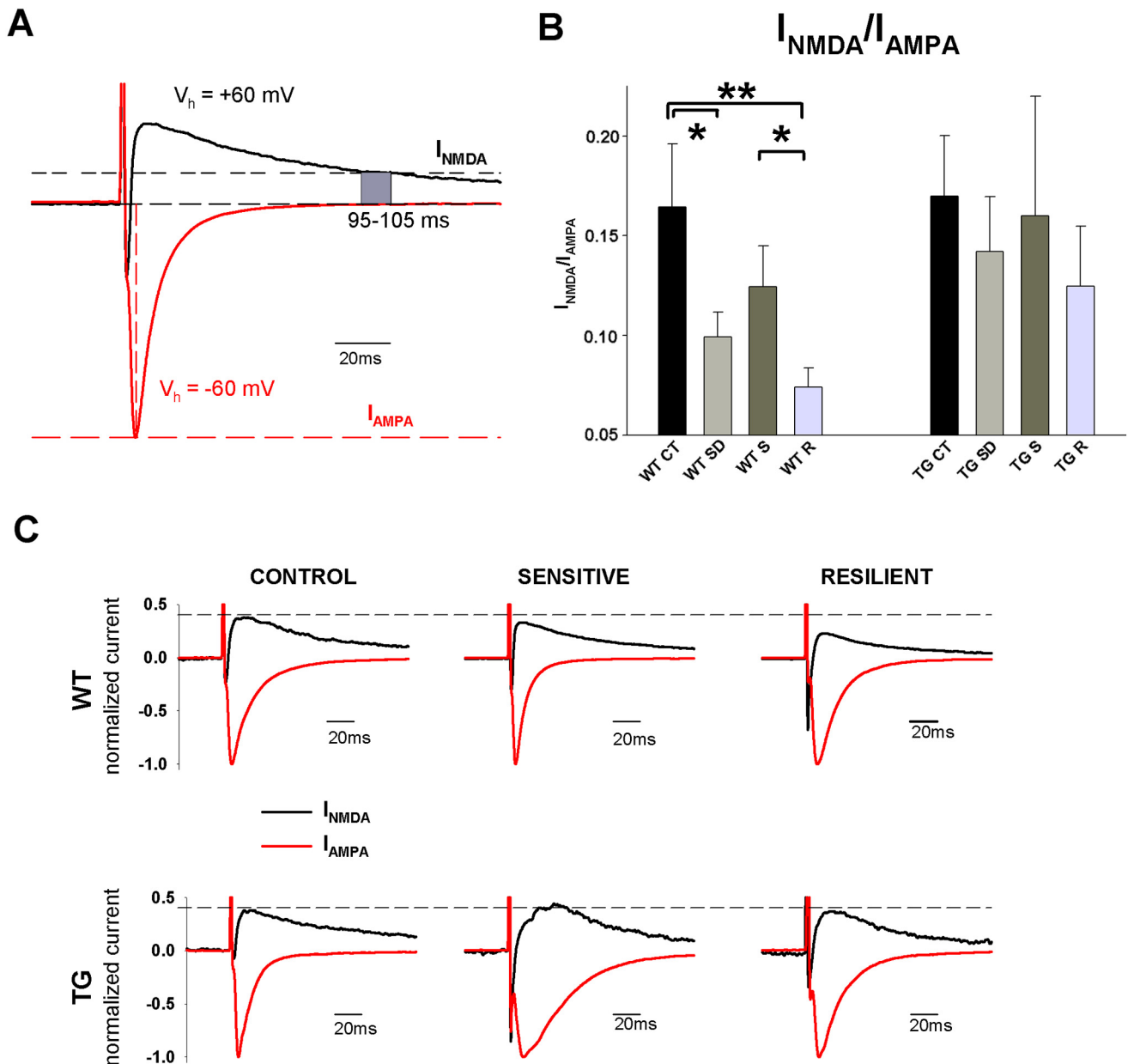
### Social Defeat stresses C57BL/6 mice in a IL-6-dependent manner

We assessed the effectiveness of SD and its IL-6 dependence by measuring anhedonia (Sucrose Preference Index, SPI) and latency to immobility (LTI) in the four experimental groups (WT unstressed, WT subject to SD, TG unstressed, and TG subject to SD, Fig. 1). Two-by-two ANOVA indicated significant differences in both tests ( $F = 5.76$ ,  $p < 0.02$ ,  $df = 131$  for sucrose preference test;  $F = 3.94$ ,  $p < 0.05$ ,  $df = 134$  for time to immobility in Porsolt forced swimming test). Post-hoc Tukey test showed that while WT/SD animals displayed decreased SPI compared to WT/unstressed (Fig. 1A:  $0.84 \pm 0.04$  for WT/unstressed vs.  $0.71 \pm 0.05$  for WT/SD,  $n = 34$ ,  $p < 0.01$ ), on the contrary, TG animals did not show any SD-caused difference in SPI ( $0.83 \pm 0.03$  in TG/unstressed,  $n = 34$ , vs.  $0.80 \pm 0.04$  in TG/SD,  $n = 34$ , n.s.). Similarly, latency to immobility in the Porsolt forced-swimming test was

decreased by SD in WT (LTI =  $7.5 \pm 1.6$  in WT/unstressed,  $n = 33$ , vs.  $4.3 \pm 1.6$  in WT/SD,  $n = 31$ ,  $p < 0.01$ ) but not in TG animals (Fig. 1B: LTI =  $6.1 \pm 1.6$  in TG/unstressed,  $n = 33$ , vs.  $4.3 \pm 0.9$  in TG/SD,  $n = 34$ , n.s.). These data suggest that SD is an effective stressor in WT animals, and that IL-6 trans-signaling is involved in its behavioral stressing effects.

### Synaptic changes induced by SD

We used patch-clamp recording in the output layer (layer 5) from the infralimbic mPFC to determine possible synaptic changes induced by SD in the mPFC network. Mean input resistance, measured for each recording with a 5-mV negative voltage pulse delivered before each electric stimulation was in the range 104–453 M $\Omega$ . No differences in input



**Fig. 2. SD decreases the ratio  $I_{NMDA}/I_{AMPA}$  in resilient animals.** (A) NMDAR-mediated currents ( $I_{NMDA}$ ) were measured as the mean current between 95 and 105 ms, for avoiding contamination by faster kinetic AMPA component, at a holding current of  $V_h = +60$  mV, after the delivery of the electric stimulation (gray area). AMPAR-mediated currents ( $I_{AMPA}$ ) were measured as peak current at  $V_h = -60$  mV (red trace). (B) First four bars on the left refer to WT animals, last four bars on the right represent the results from TG. CT: control (unstressed animals), SD: pool of the SD-stressed recorded animals, S: SD-Susceptible animals, R: SD-Resilient animals. Same bar legend for Figs. 2–4. Compared to unstressed animals  $I_{NMDA}/I_{AMPA}$  is significantly reduced in the whole pool of stressed animals and in resilient animals but not in sensitive animals. The effect is not present in TG animals (bars on the right). Sample size, from left to right bars:  $n = 10$  for WT CT, 20 WT pool, 10 WT S, 10 WT R; TG: CT: 20, TG pool: 10, TG S, 10 TG R. (C) Representative recordings from unstressed (left: CONTROL), SD-sensitive (center: SENSITIVE), and SD-resilient (right: RESILIENT) WT (above) and TG (below) animals. NMDA currents are shown in black (top trace of each graph), while AMPA currents are shown in red (lower trace of each graph).

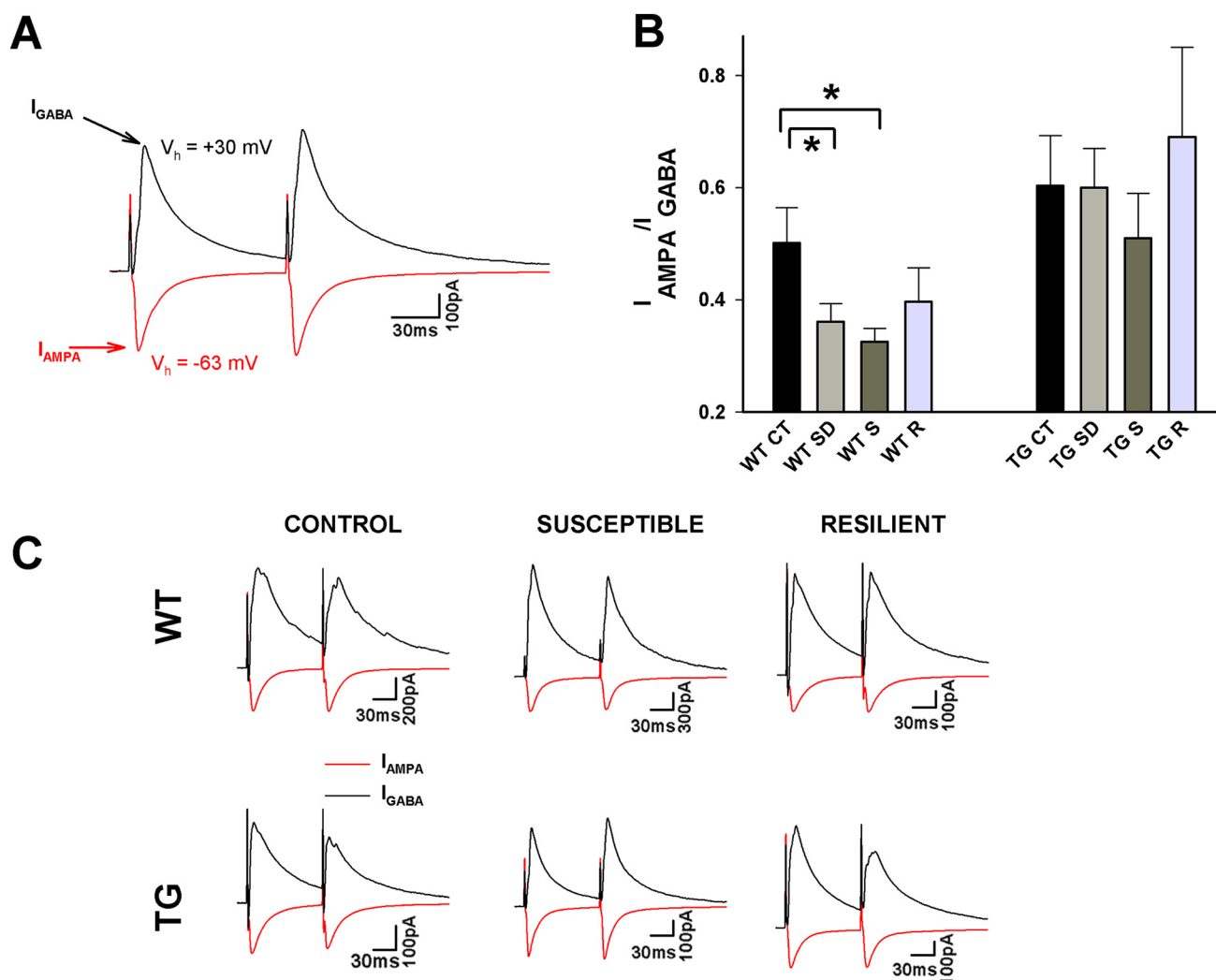
resistance were revealed by  $2 \times 2$  ANOVA analysis of WT vs. TG and unstressed vs. SD animals (unstressed WT:  $287 \pm 29 \text{ M}\Omega$ ,  $n = 16$ ; unstressed TG:  $387 \pm 60 \text{ M}\Omega$ ,  $n = 20$ ; SD WT:  $305 \pm 25 \text{ M}\Omega$ ,  $n = 20$ ; SD TG:  $270 \pm 24$ ,  $n = 20$ ), or by a  $3 \times 2$  ANOVA of WT vs. TG and unstressed, Sensitive, and Resilient animals (WT S:  $299 \pm 42 \text{ M}\Omega$ ,  $n = 10$ ; WT R:  $313 \pm 29$ ,  $n = 10$ ; TG S:  $274 \pm 46 \text{ M}\Omega$ ,  $n = 10$ ; TG R:  $266 \pm 20 \text{ M}\Omega$ ,  $n = 10$ ), suggesting no gross differences in passive cell properties between any groups.

In all bar graphs in Figs. 2–5 we show the results for different synaptic parameters measured in the study. In all these figures, the first group of four bars represents the mean  $\pm$  s.e.m. from WT animals in the following order: 1) control (CT, no SD), 2) the whole pool of recorded SD animals (SD), 3) susceptible animals (S), and 4) resilient animals (R). The second group of bars (5th to 8th) represents the results of the same group of experiments as in bars 1–4, but for the

TG group. The significant results of statistics are reported in the text.

### SD selectively decreases $I_{\text{NMDA}}/I_{\text{AMPA}}$ in resilient animals in an IL-6 dependent manner

The ratio between different glutamatergic components is a critical indicator of the plastic state of excitatory synapses (Thomas et al., 2001; Moga et al., 2006). For this reason, we measured the ratio between the NMDAR-dependent and the AMPAR-dependent components of the synaptic glutamatergic currents ( $I_{\text{NMDA}}/I_{\text{AMPA}}$ ) evoked by stimulation of adjacent layer 2/3, by first recording  $I_{\text{AMPA}}$  at hyperpolarized potentials  $V_h = -63 \text{ mV}$  (at which  $I_{\text{NMDA}}$  is minimized by  $\text{Mg}^{+2}$  block), and then depolarizing the neuron at a resting potential  $V_r = +60 \text{ mV}$  in order to fully release  $\text{Mg}^{+2}$  block of  $I_{\text{NMDA}}$ .  $I_{\text{NMDA}}$  was measured as the mean between 95



**Fig. 3.** SD decreases the ratio  $I_{\text{AMPA}}/I_{\text{GABA}}$  in sensitive animal. **(A)**  $I_{\text{GABA}}$  and  $I_{\text{AMPA}}$  were measured respectively at a holding potential of  $V_h = -63 \text{ mV}$  and  $V_h = +30 \text{ mV}$ , respectively, as peak amplitude (upper black trace and lower, red trace, respectively). **(B)** SD significantly decreases  $I_{\text{AMPA}}/I_{\text{GABA}}$  specifically in sensitive animals, but not in R ones (same bar legend as in Fig. 1B). The effect is not present in TG animals (bars on the right). Bar legend as above. Sample size, from left to right bars:  $n = 16$  for WT CT, 20 WT pool, 10 WT S, 10 WT R; 9 TG CT, 20 TG pool, 10 TG S, 10 TG R. **(C)** Representative recordings of  $I_{\text{AMPA}}$  and  $I_{\text{GABA}}$  for WT (above) and TG (below) for unstressed animals (left: CONTROL), stress-susceptible (center: SENSITIVE), and resilient (right, RESILIENT).

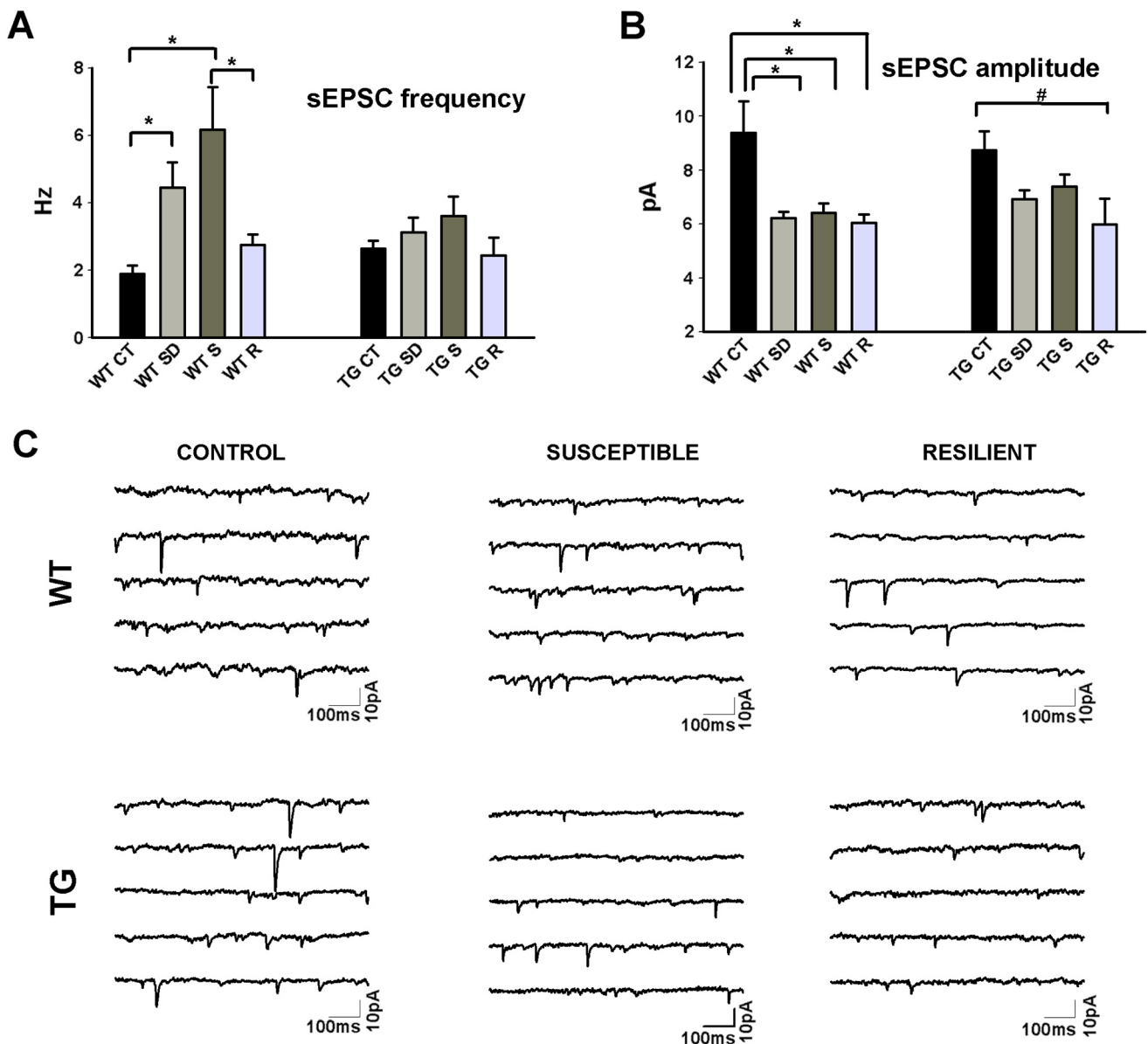
and 105 ms after the onset of the stimulating pulse in order to eliminate  $I_{AMPA}$  contamination (Fig. 2A, see the *Materials and Methods* section for details).

In WT animals,  $I_{NMDA}/I_{AMPA}$  of the overall SD pool was significantly reduced compared to unstressed animals (two-by-two ANOVA  $F_{1,59} = 5.51$ ,  $p < 0.02$ , *post-hoc* Tukey test,  $p < 0.01$ ). Three-by-two ANOVA showed an SD effect ( $F_{1,59} = 4.51$ ,  $p < 0.02$ ,  $n = 10$  each group). *Post-hoc* analysis indicated that resilient (R) animals possessed a lower  $I_{NMDA}/I_{AMPA}$  compared to both CT (Tukey test,  $p < 0.01$ ) and S animals (Tukey test,  $p < 0.05$ ), suggesting a resilient-specific mPFC plasticity (Fig. 2B, bars on the left).

Interestingly, the reduction was absent in TG animals, indicative of an IL-6 trans-signaling dependence (Fig. 2B, bars on the right). Representative recordings of  $I_{NMDA}$  and  $I_{AMPA}$  normalized to  $I_{AMPA}$  are shown in Fig. 2C for in WT and TG animals from unstressed, stress-resilient, and stress-sensitive animals, as indicated in the figure.

### SD selectively decreases $I_{AMPA}/I_{GABA}$ in resilient animals in an IL-6 dependent manner

The ratio  $I_{AMPA}/I_{GABA}$  is an estimation of synaptic neuronal excitability (Maffei et al., 2004). Analogous to the ratio  $I_{NMDA}/I_{AMPA}$



**Fig. 4. Effect of SD on action-potential dependent glutamatergic spontaneous release. (A) The frequency of action-potential dependent spontaneous excitatory currents (sEPSCs) in the pool of WT SD animals, particularly in S (but not R) animals is higher than in unstressed animals. This effect is absent in TG animals. (B) The amplitude of sEPSCs is decreased by SD. The decrease is present both in S and R WT animals (bars on the left) but is absent in TG animals (bars on the right). Bar legend as above. Sample size, from left to right bars:  $n = 9$  for WT CT, 20 WT pool, 10 WT S, 10 WT R; 10 TG CT, 20 TG pool, 10 TG S, 10 TG R. Fig. 3C: Representative sEPSC traces in control (unstressed, left), and in SD-susceptible (center) or SD-resilient (right) animals, for WT (upper traces) and TG (lower traces) animals.**

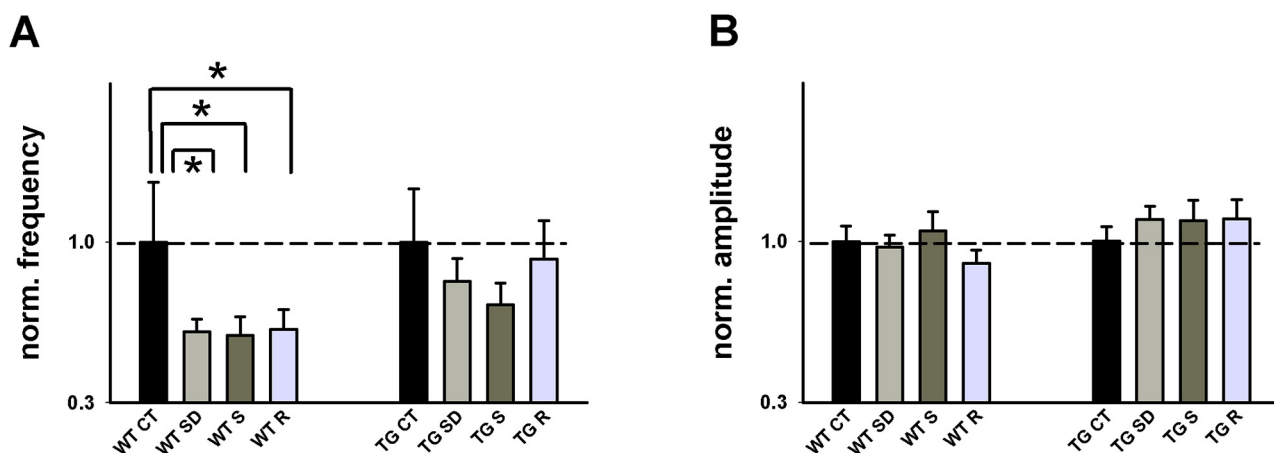


we measured  $I_{\text{AMPA}}/I_{\text{GABA}}$  by first recording the amplitude of excitatory  $I_{\text{AMPA}}$  at the hyperpolarized potential  $V_r = -63$  mV corresponding to the reversal potential for  $I_{\text{GABA}}$ , and then measuring  $I_{\text{GABA}}$  at the reversal potential for  $I_{\text{AMPA}}$ ,  $V_r = +30$  mV in the same recorded neuron for all the neurons of each group (Fig. 3A, for details see the *Materials and Methods* section).

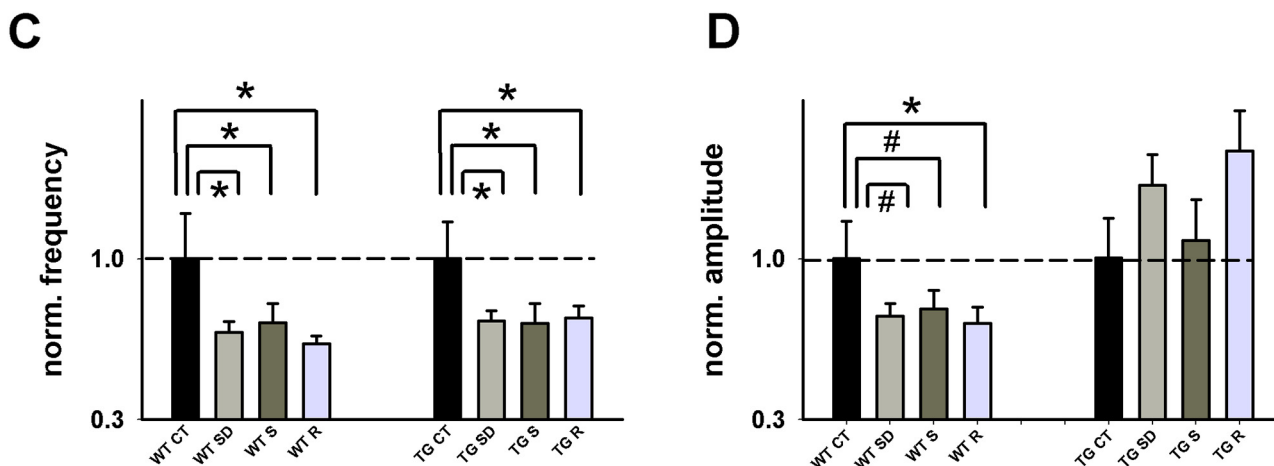
Two-by-two ANOVA suggested that SD significantly reduces  $I_{\text{AMPA}}/I_{\text{GABA}}$  ratio in WT mice ( $F_{1,64} = 4.1$ ,  $p < 0.05$ ). Post-hoc Tukey test shows that the pool of SD WT (but not TG) animals has a lower  $I_{\text{AMPA}}/I_{\text{GABA}}$  ratio compared to both unstressed animals ( $p < 0.05$ ). Three-by-two ANOVA (WT vs. TG, and CT, S, and R) suggests that the mean of the SD groups deviates from the average. Post-

hoc analysis showed that  $I_{\text{AMPA}}/I_{\text{GABA}}$  in unstressed WT animals is significantly decreased selectively in S (Fig. 3, third bar, Tukey test,  $p < 0.05$ ) but not in R mice (fourth bar). Such effect was absent in TG animals (Fig. 3B, right). We also analyzed separately the effect of SD on pair pulse ratio (PPR) on both excitatory and inhibitory evoked transmission. No significant differences were found between control (non-stressed) and SD animals in either excitatory or inhibitory synaptic transmission ( $n = 9$  for WT CT,  $n = 10$  all other groups, data not shown). Representative recordings of  $I_{\text{GABA}}$  and  $I_{\text{AMPA}}$  in WT and TG animals are shown Fig. 3C for unstressed, stress-resilient, and stress-sensitive animals, as indicated in the figure.

## mEPSC



## mIPSC



**Fig. 5. Effect of SD on action potential-independent transmission.** (A) SD decreases mEPSC frequency in WT animals leaving it unchanged TG animals. (B) SD did not change mEPSC amplitude. Two-by-two ANOVA  $p < 0.05$ ). This effect is absent in TG animals. Bar legend as above. Sample size, from left to right bars:  $n = 11$  for WT CT, 26 WT pool, 13 WT S, 13 WT R; 11 TG CT, 23 TG pool, 11 TG S, 12 TG R. (C) SD decreases mIPSC frequency (first four bars) in WT and TG animals (last four bars). (D) mIPSC amplitude shows a trend for decreased amplitude (first four bars), in WT (left bars) but not in TG animals (right bars). Bar legend as above. Sample size, from left to right bars:  $n = 10$  for WT CT, 28 WT pool, 15 WT S, 13 WT R; 9 TG CT, 21 TG pool, 11 TG S, 10 TG R.

The decrease in excitability may be caused – at least in part – by an increase in inhibition in stress-susceptible animals, as also reported in previous work (McKlveen et al., 2016), in agreement with an increased saturation of inhibitory currents ( $I_{GABA}$ ) input–output (I/O) curves from electrically evoked (e)IPSCs detected in WT S animals ( $+123 \pm 59\%$ ,  $n = 5$  and  $10$  for CT and S animals, respectively,  $p < 0.05$ ) but not in TG animals ( $+30 \pm 29\%$ ,  $n = 5$  and  $10$  for CT and S animals, respectively, n.s.).

### Stress sensitivity induces an IL-6-dependent increase in spike-dependent excitatory activity

Both excitatory and inhibitory spontaneous post-synaptic currents (sEPSCs and sIPSCs) were recorded in order to detect possible effects of SD on action-potential dependent synaptic activity. Two-by-two ANOVA of sEPSCs suggested a significant effect of SD on both sEPSCs frequency ( $F_{1,58} = 30.55$ ,  $p < 0.01$ ) and amplitude ( $F_{1,58} = 20.65$ ,  $p < 0.01$ ) (Fig. 4A). Post-hoc Tukey test showed that SD increased sEPSC frequency ( $p < 0.01$ , Fig. 3A) and reduced the amplitude ( $p < 0.01$ , Fig. 4B) in WT but not in TG animals. Two-by-three ANOVA was significant for an effect of SD ( $F_{columns} = 9.29$ ,  $p < 0.001$ ). Post hoc Tukey test indicated that sEPSC frequency increased about three-fold selectively in S ( $p < 0.01$ ) but not in R WT animals (Fig. 4A). The effect was absent in TG animals.

sEPSC amplitude was significantly reduced in both in S and R (SD) animals ( $p < 0.01$  both), also in an IL-6 dependent fashion, although R animals displayed a tendency to a reduced amplitude. Representative recordings are shown in Fig. 3C for unstressed (control, left), stress-susceptible (center), and stress-resilient (right) WT mice (above), and TG mice (below). No SD-induced effects, in amplitude or

in frequency, were detected in sIPSCs ( $n = 9$  for WT CT,  $n = 10$  for all other groups, data not shown).

### SD induces a reduction in the frequency of miniature events

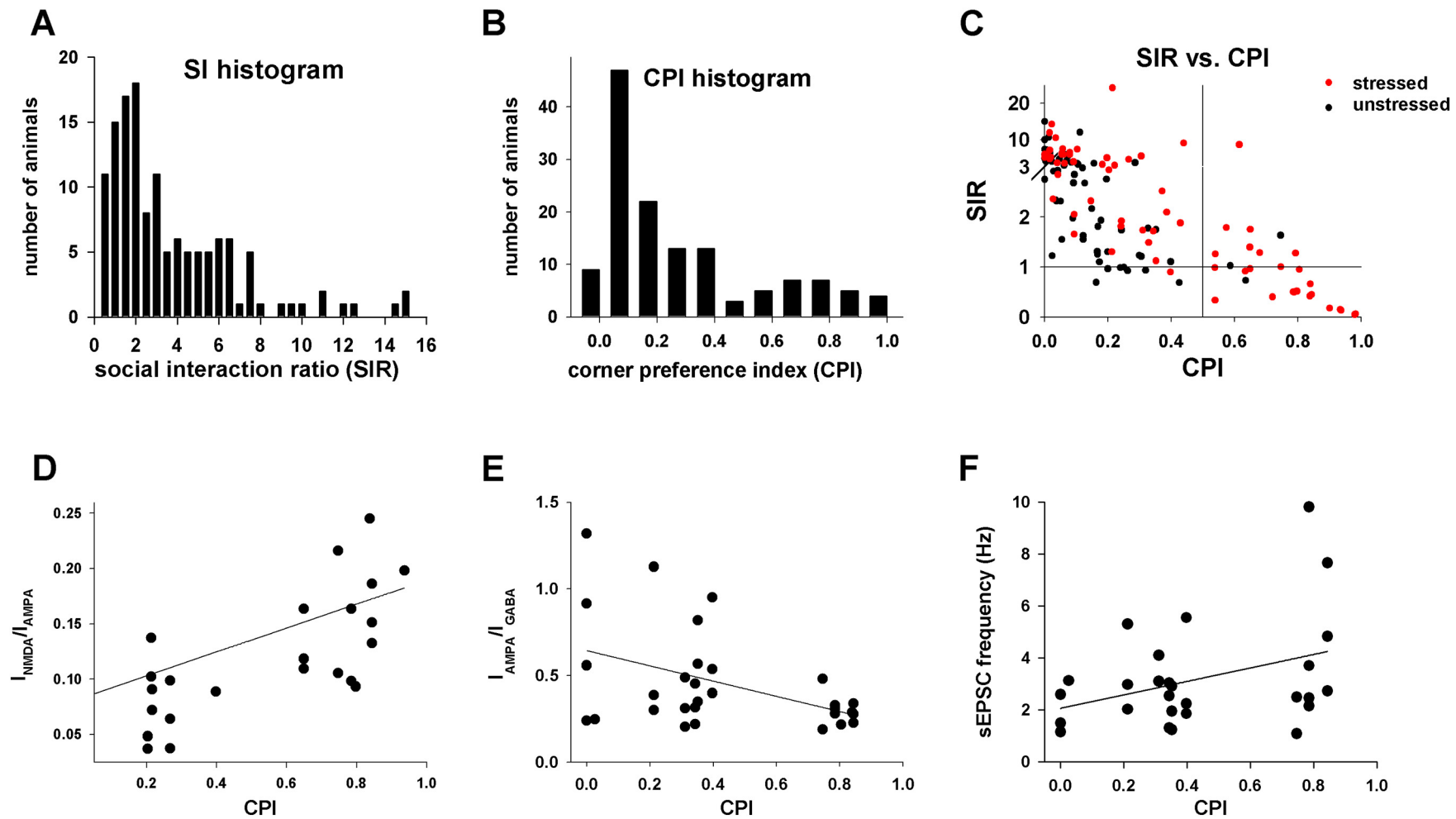
In order to determine a more specific effect of SD on synaptic function we measured the frequency, amplitude, and kinetics of pharmacologically isolated miniature excitatory and inhibitory postsynaptic currents (mEPSCs and mIPSC, respectively) in the presence of the  $Na^{2+}$ -channel blocker tetrodotoxin (TTX,  $1 \mu M$ ).

Two-by-two ANOVA indicated an effect of SD on mEPSC frequency ( $F_{columns} = 4.5$ ,  $p < 0.05$ ). Two-by-three ANOVA suggested that both S and R mice display a lower mEPSC frequency (Fig. 5A), compared to unstressed animals ( $F_{columns} = 6.35$ ,  $p < 0.02$ ). Post hoc Tukey test indicated that both stressed groups displayed lower sEPSC frequency compared to unstressed mice (either S or R vs. unstressed:  $p < 0.01$ ) in WT but not in TG animals. SD did not significantly change mEPSC amplitude in WT or in TG animals (Fig. 5B). These changes suggest IL-6 trans-signaling pathway affects presynaptic glutamate release, besides other possible effects.

Similar to mEPSC, SD also decreased mIPSC frequency both in S and R animals, but, contrary to mEPSC, the reduction was present both in WT as well as in TG mice (Figs. 5C), indicating that IL-6 trans-signaling is not involved in this particular effect. In fact, both two-by-two ANOVA and two-by-three ANOVA showed an overall effect of stress on mIPSC frequency ( $F_{columns} = 9.29$ ,  $p < 0.01$ , and  $F_{columns} = 9.93$ ,  $p < 0.001$ , respectively). Post-hoc Tukey test indicated that both S and R mice displayed lower sIPSC frequency both in WT (unstressed vs S, and unstressed vs. R,  $p < 0.01$

**Table 1.** SD-induced change in synaptic parameters. Arrow up (↑) or down (↓) indicate statistically significant increase or decrease, respectively. IL-6 dependence indicates whether a similar change occurred or not in a similar experiment in GFAP-sgpl30Fc TG animals. We report different behavior between S and R groups either because S differs from control while R does not or vice versa and/or there is a direct difference between the S and R group means. It is worthwhile noticing that while the parameter values of R and S animals may or may not differ from each other, regardless of their behavior with respect to control (unstressed) animals, and vice-versa, due to statistical error. The pound symbol (#) indicates statistical tendency ( $p < 0.10$ ).

parameter	change in stress-Sensitive animals	IL-6 dependent?	change in stress-resilient animals	IL-6 dependent?	different behavior between R and S	IL-6 dependent?
glutamate synapses $I_{NMDA}/I_{AMPA}$ component ratio	0	–	↓	yes	yes	yes
excitatory/inhibitory synaptic ratio $I_{AMPA}/I_{GABA}$	↓	yes	0	–	yes	yes
Paired pulse ratio (PPR) glutamate	0	–	0	–	no	–
Paired pulse ratio (PPR) GABA	0	–	0	–	no	–
sEPSC frequency	↑	yes	0	–	yes	yes
sEPSC amplitude	↓	yes	↓	0 (#)	no	–
sIPSC frequency	0	–	0	–	no	–
sIPSC amplitude	0	–	0	–	no	–
mEPSC frequency	↓	yes	↓	yes	no	–
mEPSC amplitude	0	–	0	–	no	–
mIPSC frequency	↓	no	↓	no	no	–
mIPSC amplitude	0 (#)	–	↓	yes	no	–
mEPSC rise time	0	–	0	–	no	–
mIPSC rise time	0	–	0	–	no	–
mEPSC half width	0	–	0	–	no	–
mIPSC half width	0	–	↑	yes	yes	–



**Fig. 6. Behavioral response to SD.** Normalized distributions for Corner Preference Index (CPI, **A**), Social Interaction ratio (SIR, **B**). The distribution of SIR (Fig. 5A) does not display a clear separation between two different populations, whereas the distribution of CPI does (Fig. 5B), by setting a threshold at a value of CPI = 0.5. A graph correlating CPI with SIR (Fig. 5C, each dot represents an animal, black for unstressed, red for stressed) shows that the two criteria almost overlap. In fact, most of the population fills the upper left and lower right quadrants, being the amount of susceptible animals according to a  $SIR \leq 1$ ,  $S_{SIR} = 19\%$ , while the same amount according to CPI, is  $S_{CPI} = 21\%$ , and 87% of the animals fell into the same category (S or R) regardless of the criterion ( $CPI \geq 0.5$  or  $SIR \leq 1$ ). **(D) Correlation between  $I_{NMDA}/I_{AMPA}$  vs. CPI.** Each individual point represents one electrophysiological recording ( $n = 32$  recordings from 10 animals). The line represents the linear regression of all experimental points, three of which have been left outside of the graph for better expansion. **(E) Correlation between  $I_{AMPA}/I_{GABA}$  vs. CPI.** Each individual point represents one electrophysiological recording ( $n = 30$  recordings from 12 animals). The line represents the linear regression of all experimental points. **(D) Saturation level of evoked  $I_{GABA}$**  is higher in SD-susceptible WT animals vs. untreated WT. The effect of SD is absent in TG animals (third and fourth bars), consistent with the results in **(A)**. **(F) Analogous to the previous figure, correlation between sEPSC frequency vs. CPI.** Each individual point represents one electrophysiological recording ( $n = 27$  recordings from 10 animals each graph). The lines represent the linear regression of all experimental points.

both), and TG (unstressed vs S, and unstressed vs. R,  $p < 0.05$  both), indicating that the effect does not depend on IL-6 trans-signaling. Miniature IPSC amplitude (Fig. 5D), was significantly reduced in R animals (unstressed vs. R,  $p < 0.05$ ), although both the pool of stressed as well as S animals displayed a tendency to a decreased amplitude (both  $0.05 < p < 0.10$ , Tukey test).

No effects of SD were detected on kinetic parameters (20–80% rise time,  $rt$ . and 50% half-width,  $hw$ ) in either mEPSC or mIPSC WT or TG animals, except that R TG animals displayed a longer mIPSC half-width compared to controls and S animals ( $45 \pm 4$  ms for R vs.  $30 \pm 4$  ms for control and  $27 \pm 5$  ms for S,  $n = 10$  each group). A summary of the effects of SD on synaptic activity is reported on Table 1.

### Corner preference index correlates with electrophysiological parameters

While the Social Interaction Ratio (SIR) distribution failed to show clear-cut separation between populations (Fig. 6A), the distribution of the corner preference index (CPI) did show two clear populations with CPI = 0.5 as separation threshold (Fig. 6B). The two behavioral indicators were not independent as shown in Fig. 5C, representing the correlation between SIR and CPI. In fact, the majority (87%) of the animals categorized as S or R according to the criterion  $SIR < 1$  or  $> 1$ , also displayed a CPI  $> 0.5$  or  $< 0.5$ , respectively, suggesting that CPI may be a solid behavioral indicator, virtually equivalent to the SIR.

Interestingly, the ratio  $I_{NMDA}/I_{AMPA}$  CPI positively correlated with CPI (linear correlation coefficient  $R = 0.35$ ,  $p < 0.001$ ,  $n = 32$  recordings from 10 animals, Fig. 6D). Opposite to the ratio  $I_{NMDA}/I_{AMPA}$ , the ratio  $I_{AMPA}/I_{GABA}$  correlated negatively with CPI (linear correlation coefficient  $R = 0.49$ ,  $p < 0.0001$ ,  $n = 30$  recordings from 12 animals, Fig. 6E). These results represent an IL-6-dependent decrease in synaptic excitability associated with a SD-vulnerability. Similarly, sEPSC frequency positively correlated with CPI (Fig. 6F, coefficient of correlation  $R = 0.45$ ,  $p < 0.001$ ,  $n = 28$  recordings from 10 animals).

## DISCUSSION

We investigated for the first time the effect of SD and its IL-6 dependence on behavior and synaptic properties of the mPFC using a genetically modified C57BL/6 mouse strain in which central IL-6 trans-signaling was impaired. Our findings suggest that SD induces an IL-6 dependent plasticity of glutamatergic synapses in resilient animals, and a decrease in excitability in susceptible animals, besides other more complex effects. The present results suggest that a decrease in  $I_{NMDA}/I_{AMPA}$  and  $I_{AMPA}/I_{GABA}$  is the hallmark of SD induced synaptic plasticity in the mPFC. Interestingly, both specific changes detected for S animals (decrease in  $I_{AMPA}/I_{GABA}$ ) and R animals (decrease in  $I_{NMDA}/I_{AMPA}$ ) appear to be IL-6 dependent.

### Effects of SD on behavioral response

The response to social interaction of unstressed (control) animals displayed a tendency of the (naïve) TG group to be less

vulnerable compared to (naïve) WT, suggesting that basal levels of IL-6 trans-signaling may elevate the response to acute social stress. The data are in line with previous studies showing that increased levels of IL-6 are associated with sensitivity to SD after the first defeat (Hodes et al., 2014). The performance of mice subject to the full SD protocol showed that TG animals are not less susceptible to SD than WT animals, suggesting that SD may evoke a stressful response using molecular mechanisms other than CNS IL-6 trans-signaling. These results may not surprise, considering the existence of multiple alternative central pathways of stress, involving the hypothalamus–pituitary–adrenal (HPA) axis, the release of vasopressin and other stress-related peptides like other pro-inflammatory cytokines, as well monoamines, through cortical and/or sub-cortical IL-6-independent pathways (Atzori et al., 2016).

### Effect of SD on synaptic functions

As error-sensor for working memory in executive function, the mPFC has long been proposed to be an area particularly susceptible to stress (Devlbiss et al., 2016). A basic question about the effects of stress is whether stress-resilience and/or stress-sensitivity are specifically associated with any peculiar form synaptic plasticity. Among many, two parameters are critical for synaptic function: 1) the proportion  $I_{NMDA}/I_{AMPA}$ , whose decrease parallels synaptic maturation and learning processes (Funahashi et al., 2013), and 2) the ratio  $I_{AMPA}/I_{GABA}$ , a crucial indicator of neuronal excitability. The decreased  $I_{NMDA}/I_{AMPA}$  detected specifically in WT resilient animals suggests that adaptation – or resilience – to stress is an active process, as previously suggested (Wilkinson et al., 2009), eliciting a specific change in mPFC glutamatergic circuitry. This interpretation is corroborated by the positive correlation between  $I_{NMDA}/I_{AMPA}$  and CPI, and by a negative correlation between  $I_{AMPA}/I_{GABA}$ . A decreased  $I_{AMPA}/I_{GABA}$  ratio is likely to cause a decrease in mPFC power. According to work from several groups (Kumar et al., 2014; Hultman et al., 2016), a decrease in mPFC power is a hallmark of stress-sensitive animals, consistent with the inverse correlation that we found between CPI and  $I_{AMPA}/I_{GABA}$ . Alterations in mPFC activation would eventually bring about a decrease in synchronization between limbic areas including amygdala, ventral tegmental area, and ventral striatum, leading, in turn, to depressive-like symptoms (Hultman et al., 2018).

A direct interaction between IL-6 and NMDAR (Qiu et al., 1998; Qiu and Gruol, 2003) may be at least partly responsible of this form of adaptation. On the contrary, the decrease in  $I_{AMPA}/I_{GABA}$  displayed specifically by SD-susceptible animals is consistent with the parallel increase displayed by GABAergic I/O saturation current, in agreement with an increase in inhibition caused by chronic stress (McKlveen et al., 2016; Jett et al., 2017), and with a loss of AMPAR induced by repeated restraint stress (Yuen et al., 2012; Wei et al., 2016b). Since in a previous study no appreciable baseline differences in either variable ( $I_{NMDA}/I_{AMPA}$  or  $I_{AMPA}/I_{GABA}$ ) were detected between WT and TG animals (Cuevas-Olguin et al., 2017), our present results suggest



that a decrease in  $I_{\text{NMDA}}/I_{\text{AMPA}}$  and an increase in  $I_{\text{GABA}}/I_{\text{AMPA}}$  are a hallmark of SD induced synaptic plasticity in the mPFC.

Interestingly, both specific changes detected for S animals (decrease in  $I_{\text{AMPA}}/I_{\text{GABA}}$ ) and R animals (decrease in  $I_{\text{NMDA}}/I_{\text{AMPA}}$ ) appear to be IL-6 dependent. This observation highlights at once the importance of this cytokine in the occurrence of stress-induced plasticity, as well as its dual nature in the induction of mPFC plasticity. As a corollary, since IL-6 appears to be necessary for synaptic plasticity of both R and S animals – at least in the mPFC – it may prove difficult to use IL-6 cascade ligands to interfere specifically on the plasticity of specific stress-induced circuits.

The apparent discrepancy in the effect of stress on mIPSC frequency between our data and those by McKlveen and colleagues (McKlveen et al., 2016) may be due to the use of different stressors and/or species, as well as to possible differences in the actual (unknown) source of miniature events in the two preparations. The biological nature of the deficit suggests that a stress-induced difference in  $\text{Cl}^-$  driving force, as generated by a deficit in  $\text{Cl}^-$  transporter function is less likely (Maguire, 2014). Together, these results suggest that different types of synaptic plasticity are present in the mPFC of stress-susceptible vs. stress-resilient animals, possibly with different cellular mechanisms and time-scales (Jackson and Moghaddam, 2006; Moghaddam, 2016). It is tempting to speculate that a causal relationship may connect a decreased  $I_{\text{AMPA}}/I_{\text{GABA}}$  and a diminished performance and function of the mPFC, consistent with pre-clinical and clinical data on depressed subjects, and with renewed attempts at targeting the main executive neurotransmitter system (glutamatergic and GABAergic) in the treatment of mood disorders (Sanacora et al., 2004).

In spite of the absence of SD effects on PPR of either excitatory or inhibitory synapses, analysis of miniature synaptic events showed that SD reduces the frequency of both mEPSCs (IL-6 dependent) and mIPSCs (IL-6 independent), with unchanged amplitude. A reduced frequency in SD mEPSC might be due to synaptic withdrawal and spine density decrease, as observed after sensory or restraint stress in the PFC (Soztutar et al., 2016), and other cortical areas (Bose et al., 2010). On the other hand, a decreased mIPSC frequency with unaltered amplitude in SD animals is consistent with a large body of literature reporting stress-induced deficit in GABAergic interneuron function (Tseng et al., 2008; Ganguly et al., 2015; Banasr et al., 2017; Ueno et al., 2017; Filipović et al., 2018), part of which has been hypothesized to be IL-6 dependent (Gumusoglu et al., 2017), and to an increased sensitivity to glutamatergic-induced seizures (Samland et al., 2003).

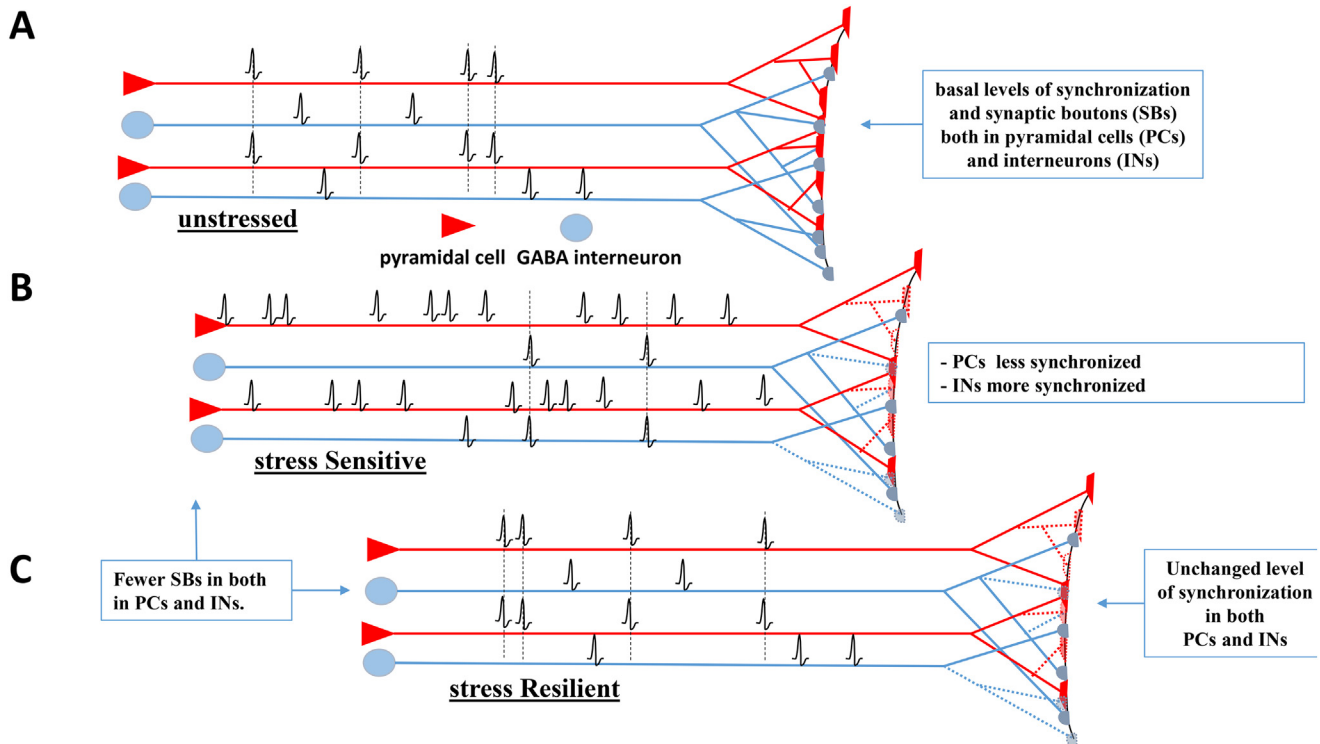
We speculate that the IL-6 dependent increase in sEPSC frequency, which we found to be specific for stress-susceptible (S) animals, accompanied by a non-specific amplitude decrease, might be caused by an *enhancement* in mPFC principal cells firing rate and their *reduced* synchronization. The corresponding firing enhancement, could be possibly caused by a disordered rearrangement of the mPFC local excitatory circuitry. In turn, this property might

be a source of PFC decreased performance and behavioral ineffectiveness. Additionally, an increased sEPSC frequency in SD animals is consistent with enhanced mPFC excitatory – also possibly disorderly – activity, similarly detected in major depression (Zhang et al., 2016).

SD did not alter synaptic parameters of sIPSCs, but increased  $I_{\text{GABA}}$  saturation level in S animals, consistent with higher synchronicity of interneuronal ensembles, but in the absence of major physical rearrangement of the GABAergic interneuronal circuitry. Altogether, our results are integrated in the speculative (but testable) hypothesis summarized in the sketch of Fig. 7. An almost completely unaltered kinetics is consistent with a lack of major changes in synaptic receptors subunit composition. The only kinetic parameter changed by stress was measured in R TG animals, possibly due to a role of IL-6 in the stabilization of the turnover of one or more GABAR subunits (Garcia-Oscos et al., 2012).

Since in GFAP-sgp130Fc animals IL6 trans-signaling pathway was only modified in the brain and not in the periphery (Campbell et al., 2014), the synaptic effects of SD on the PFC, are not likely to be caused *exclusively* by changes in peripheral signaling of the immune system of the mice. However, we cannot exclude that the synaptic effects of SD on the PFC are caused indirectly, by alterations in peripheral function, such as social stressor-induced changes in intestinal immunomodulation, which was also found to be IL-6 dependent (Bailey et al., 2011; Burokas et al., 2017; Szyszkowicz et al., 2017). This possibility is further supported by a stress-induced weakening of the blood brain barrier allowing peripheral IL-6 to reach the CNS (Menard et al., 2017), and by the occurrence of anxiety symptoms following SD-promoted splenic activation (McKim et al., 2016). A correlation between stress, gut microbiota, and IL-6 mediated central maladaptive plasticity should thus not be overlooked (Zhang et al., 2017). Besides the relevance of IL-6-dependent mechanisms in the PFC, a further possible explanation for the ineffectiveness of SD to induce synaptic changes in TG animals could be the presence of IL-6-dependent effects in sub-cortical areas, particularly in the ventral striatum (N. Accumbens), known to interfere in reward related tasks (Treadway et al., 2017).

The cellular mechanisms through which IL-6 affects synaptic function are yet incompletely understood. We proposed in earlier work that IL-6 may be *acutely* modulating translational or post-translational intracellular receptor trafficking (Garcia-Oscos et al., 2012), notion supported by the modulation of membrane receptor function after IL-6 elevation following lipopolysaccharide injections (Chugh et al., 2013), and by interactions of this cytokine with eukaryotic initiation factor 4, a crucial protein for ribosomal function (Melemedjian et al., 2010). Our present data suggest that presynaptic, structural, and anatomical factors may embody the core of *chronic* social stress synaptic alterations, following a period of acute stress, in which – on the contrary – postsynaptic alterations may be induced in a relatively short time-scale, as corroborated by the effectiveness of intracellular tyrosine kinase inhibitors in blocking IL-6-induced synaptic plasticity (Tancredi et al., 2000).



**Fig. 7. Representation of the possible effects of SD on mPFC activity.** Legend: red, triangular, cells represent principal pyramidal neurons of the mPFC, blue circles represent inhibitory GABAergic mPFC interneurons, each one with a related firing pattern. Red and blue spots on the right represent corresponding synapses. **(A) Unstressed animals** possess a moderate firing frequency with a basal degree of synchronicity and synaptic connectivity, represented by the density of red and blue spots on the right. **(B) Stress-Sensitive animals** present fewer synapses (dashed lines) and a higher firing frequency. Synchronization is decreased in principal cell but decreased in inhibitory interneurons. **(C) Stress-Resilient animals** are able to maintain baseline synchronization but have a similar decrease in both excitatory and inhibitory synaptic connectivity.

Our data corroborate the hypothesis that chronic distress leads to considerable changes in synaptic function, and suggest that 1) the mPFC undergoes major rearrangements after SD, 2) a great extent of the SD-induced synaptic changes elicited in the mPFC of the intact animal is dependent on IL-6 trans-signaling, 3) susceptible (S) and resilient (R) animals undergo different types of SD-induced synaptic changes, and 4) some of the changes associated with SD-sensitivity and SD-resilience in the mPFC are specifically dependent on IL-6 trans-signaling. IL-6 trans-signaling appears thus to be a critical component in stress-induced re-shaping of PFC synaptic connectivity.

While numerous SD-induced differences in synaptic plasticity between WT and TG animals indicate that IL-6 is a crucial factor in synaptic rearrangement elicited by stress in the mPFC, on the other hand, the sensitivity of TG animals to SD indicates the existence of stress mechanisms independent from central IL-6 signaling. Early neurodevelopmental alterations in synaptic transmission of TG animals may explain their unchanged sensitivity to SD (Cuevas-Olguin et al., 2017). Alternatively, other stress-related pathways like direct connections from the amygdala to the motor cortices, to other pre-motor areas, or with the PFC itself might be responsible for eliciting the fear response through IL-6 independent mechanisms.

Further studies will be necessary to: 1) corroborate whether stress produces opposite effects on neuronal firing synchronicity for principal cells vs. GABAergic interneurons in stress-susceptible animals, 2) separate the specific effects of IL-6 on different types of GABAergic interneurons, 3) determine the specific intracellular mechanisms triggered by stress through IL-6, and 4) understand IL-6 dependent synaptic and neuronal mechanisms in brain areas other than the mPFC, such as the amygdala (Jasnow et al., 2005), hippocampus (Olde Engberink et al., 2017), and the ventral tegmental area (Fanous et al., 2010).

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